

WURZ FINANCIAL SERVICES, LLC

PRIVACY POLICY

Effective Date: Jun 15, 2026

Last Reviewed: Jun 15, 2026

Wurz Financial Services, LLC (“WFS,” “we,” “our,” or “us”) is committed to safeguarding the confidentiality and security of our clients’ personal information. We maintain policies and procedures designed to protect client information in accordance with federal and state law, including Regulation S-P.

Information We Collect

We collect nonpublic personal information (“NPI”) about clients that is provided by clients or obtained with client authorization. This information may include, but is not limited to:

- Name, address, phone number, and email address
- Social Security number or taxpayer identification number
- Date of birth
- Financial account numbers and balances
- Banking and custodial information
- Sources of income and financial objectives
- Transaction history and investment information

We collect this information solely to provide investment advisory services, administer accounts, comply with legal and regulatory obligations, and communicate with clients.

How We Share Information

WFS does **not sell** client information.

We may share client information only as permitted by law and only as necessary to conduct our business, including:

- **For everyday business purposes**, such as processing transactions, maintaining client accounts, responding to court orders, subpoenas, legal investigations, or regulatory requests
- **With custodians, broker-dealers, and third-party service providers** solely to perform services on our behalf
- **With regulators or law enforcement**, as required by law
- **With client consent**, or as directed by the client

Third-party service providers with access to client information are subject to due diligence, confidentiality obligations, and information security requirements consistent with WFS's cybersecurity policies.

We do **not** share client information with non-affiliated third parties for their own marketing purposes.

SMS consent will not be shared with third parties for marketing purposes.

Former Clients

We may continue to retain and share information about former clients only as described in this Privacy Policy and as permitted by law. Former client information is protected under the same confidentiality and security standards as information relating to current clients.

Safeguarding Client Information

WFS maintains physical, electronic, and procedural safeguards designed to protect client information from unauthorized access, misuse, loss, or disclosure. These safeguards are consistent with our written Cybersecurity & Information Security Policies and include, but are not limited to:

- Designation of a Chief Information Security Officer (CISO) responsible for oversight of the firm's information security program
- Access controls limiting information to authorized personnel based on job responsibilities
- Strong password standards and required multi-factor authentication where available
- Antivirus software, encryption, system monitoring, and regular software updates
- Secure handling and storage of physical and electronic records
- Ongoing employee training regarding privacy, phishing, wire fraud, and cybersecurity risks
- Due diligence and ongoing oversight of third-party technology and service providers
- Secure disposal of information when no longer required

Cybersecurity & Incident Response

WFS maintains a written cybersecurity and incident response program designed to detect, prevent, and respond to unauthorized access, misuse, or breaches involving client information.

In the event of a cybersecurity incident involving NPI, WFS will:

- Promptly investigate and document the incident
- Take appropriate steps to contain and remediate the event
- Assess whether client information was accessed or misused
- Notify affected clients and regulatory authorities as required by applicable law

All incidents are logged, reviewed, and used to enhance the firm's ongoing information security practices.

Data Retention, Backup & Recovery

Client information is retained for as long as necessary to service the client relationship and to comply with applicable legal and regulatory recordkeeping requirements.

WFS maintains regular electronic data backups and recovery procedures consistent with its Business Continuity Plan and Cybersecurity Policies to ensure the availability and integrity of client information. When information is no longer required, it is securely destroyed in accordance with internal procedures.

Client Rights & Opt-Out Options

Federal law allows clients to limit certain types of information sharing, including:

- Sharing for affiliates' everyday business purposes involving creditworthiness
- Sharing with affiliates or non-affiliates for marketing purposes

Clients may contact WFS at any time to inquire about privacy practices or to request limitations on information sharing where permitted by law.

Use of Technology and Artificial Intelligence.

In the course of serving you, we may use secure technology tools, including artificial intelligence, to help us work more efficiently and deliver a higher level of service—for example, to organize information, draft documents, and analyze financial data. Any use of these tools is governed by our privacy policy and our duty of confidentiality. We do not sell your personal information, and we maintain administrative, technical, and physical safeguards designed to protect it. We use only tools and vendors that meet our standards for data security and confidentiality.

Policy Updates

This Privacy Policy may be updated periodically to reflect changes in legal requirements, cybersecurity standards, or business practices. Clients will be notified of material changes as required by law.

Contact Information

If you have questions about this Privacy Policy or our privacy or information security practices, please contact:

Wurz Financial Services, LLC

6904 Spring Valley Drive, Suite 301

Holland, OH 43528

Phone: 859-291-9879

Email: dpw@wurzfinancialservices.com

Your privacy, our professional ethics, and the ability to provide you with quality financial services are extremely important to us.